PROPOSED NATIONAL INSTRUMENT 94-102
DERIVATIVES: CUSTOMER CLEARING AND PROTECTION OF CUSTOMER COLLATERAL AND POSITIONS

FORM 94-102F2 *CUSTOMER COLLATERAL REPORT: INDIRECT INTERMEDIARY*

This Form 94-102F2 is to be completed by each person or company that acts as an indirect intermediary in order to comply with its reporting obligations to the local securities regulator under subsection 25(2) of National Instrument 94-102 *Derivatives: Customer Clearing and Protection of Customer Collateral and Positions* (the "Instrument").

Reporting Date	DD/MM/YY
Reporting Period[1]	DD/MM/YY – DD/MM/YY

Reporting indirect intermediary
Name and LEI[2]

Table A is to be completed by each indirect intermediary that receives customer collateral from a customer in accordance with the Instrument. Complete a separate line for each customer that has posted customer collateral to the reporting indirect intermediary. Where a LEI is not available, please provide an Interim LEI or, if not available, the complete legal name of the customer.

Table A

A.	LEI of customer	Customer collateral			
		Total value of non-cash customer collateral posted to the indirect intermediary as of the last business day of the Reporting Period	Total value of customer collateral posted to the indirect intermediary as of the last business day of the Reporting Period	Maximum value of customer collateral posted to the indirect intermediary during the Reporting Period	Average value of customer collateral posted to the indirect intermediary over the Reporting Period
1.	[Any customer that has posted customer collateral to the reporting indirect intermediary]				
	Aggregate total				

---

[1] The Reporting Period is the calendar month preceding the Reporting Date.

[2] Where a LEI is not available, please provide an Interim LEI or, if not available, please provide the complete legal name of the reporting indirect intermediary together with the complete address of its head office.

Table B is to be completed by each indirect intermediary that receives customer collateral from a customer in accordance with the Instrument. Complete a separate line for each location at which customer collateral is held by or for the reporting indirect intermediary. Where a LEI is not available, please provide an Interim LEI or, if not available, the complete legal and operating name(s) of the permitted depository.

Table B

B.	LEI of permitted depository or reporting indirect intermediary	Customer collateral			
		Total value of non-cash customer collateral held by or for the indirect intermediary as of the last business day of the Reporting Period	Total value of customer collateral held by or for the indirect intermediary as of the last business day of the Reporting Period	Maximum value of customer collateral held by or for the indirect intermediary during the Reporting Period	Average value of customer collateral held by or for the indirect intermediary over the Reporting Period
1.	[Reporting indirect intermediary, if holding customer collateral itself]				
2.	[Any permitted depository holding customer collateral for the reporting indirect intermediary]				
	Aggregate total:				

Table C is to be completed by each indirect intermediary that has posted customer collateral to a direct intermediary in accordance with the Instrument. Complete a separate line for each direct intermediary with which the reporting indirect intermediary has deposited customer collateral. Where a LEI is not available, please provide an Interim LEI or, if not available, the complete legal and operating name(s) of the direct intermediary.

Table C

		Customer collateral			
C.	LEI of direct intermediary	Total value of non-cash customer collateral posted to a direct intermediary as of the last business day of the Reporting Period	Total value of customer collateral posted to a direct intermediary as of the last business day of the Reporting Period	Maximum value of customer collateral posted to a direct intermediary during the Reporting Period	Average value of customer collateral posted to a direct intermediary over the Reporting Period
1.	[Any direct intermediary with which the reporting indirect intermediary has posted customer collateral]				
	Aggregate total:				